

# **Uniform Assessment Instrument Findings**

#### PARTICIPANT INFORMATION

Participant Name	Home Phone	
Address	Medicaid ID#	
City	Date of Birth	
State	Marital Status	
Zip	Assessment Date	
Language	Admission Date	
Gender	Redet Date	
Housing Arrangement	Facility Name	
, Region	Facility Phone	

#### **CONTACTS**

Contact .	Relationship	. Phone
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#### SUBSTITUTE DECISION MAKER

Decision Maker	Comments
Name	
Relationship	
Phone	

#### SECONDARY SOURCE OF INFORMATION

Source	Name	Phone	Relationship
Medical Record			
Physician			
Other			

### ABUSE/NEGLECT/EXPLOITATION

☐ No indication of any abuse, neglect or exploitation
□ Indication of material abuse, neglect or exploitation that involves misuse of funds, property or resources. The client is not in danger of any physical injury or pain
☐ Indication of psychological abuse, neglect or exploitation such as verbal assaults, threats, isolation, coercion, etc.

Indication of physical abuse, neglect or exploitation and in danger.	extreme violation of rights where the participant's health and safety are	
in danger.		
	•	
	•	
HEALTH INFORMATION		
PRIMARY PHYSICIAN		
Name	Phone	
Bladder Control:		
Bowel Control:		
Comments:		
Condition and a		
Cardiovascular  □ Circulation	Muscular/ Skeletal	
☐Congestive Heart Failure	□Arthritis	
Heart Condition	☐ Rheumatoid Arthritis	
☐ High Blood pressure	□Osteoporosis	
Lingii blood pressure	·	
Dementia	Neurological	
□Dementia Alzheimer's	` □тві	
□Dementia Non-Alzheimer's	☐ Seizure Disorder	
•	☐Spinal Cord Injury	
Developmental Disabilities	□cva	
□Intellectual Disabilities	D	
□Autism	Psychiatric	
□Cerebral Palsy	□Anxiety Disorders □Bipolar	
	⊔віроіаі □Major Depression	
Endocrine	☐Personality Disorder	
□Endocrine Diabetes	☐ Personality Disorder ☐ Schizophrenia	
☐ Endocrine Thyroid	<u> </u>	
☐ Eye Disorders	Respiratory	
☐Immune System Disorders	☐Chronic Obstructive Pulmonary disease	
General Information		
□AÍcoholism Substance Abuse		
□ Blood Related Problems	Urinary/Reproductive	
□Obesity	☐ Renal Failure	
□ Cancer	☐ Prostate Condition	
Cancer Type:		
Comments		

Last Hospitalization Date:

**Health Information**Pertinent History

Reason:

Other problems: Treatment/Therapies Frequency: ☐ Behavioral Management Program Frequency: ☐ Bladder Control Program Frequency: ☐ Bowel Control program Frequency: ☐ Catheter Care Frequency: ☐ Chemo/Radiation Therapy Frequency: ☐ Decubitus Care Frequency: ☐ Developmental Therapy Frequency: ☐ Diabetic Management Frequency: ☐ Dialysis Treatment Frequency: □Hospice Frequency: ☐ Licensed Nursing Care/Assessment Frequency: ☐ Medication Management Frequency: ☐ Occupational Therapy Frequency: ☐ Ostomy Care Frequency: □ Other Frequency: ☐ Physical Therapy Frequency: □ Psychotherapy ☐ Range of Motion/Strengthening Frequency: Frequency: ☐ Recreation Therapy Frequency: ☐ Respiratory Therapy Frequency: ☐ Restorative Therapy Program Frequency: ☐ Speech Therapy Frequency: ☐Tracheostomy suctioning Frequency: ☐Tube Feeding Frequency: ☐Wound or Skin Care Comments: **DIET INFORMATION** Are you currently on a Special Diet? Select Diet Type: Select Description: Weight Pounds Height Inches Height Feet Comments:

## **NUTRITIONAL RISK**

Do you eat less than 2 meals/day?

Do you eat more than 2 servings each of fruits, vegetables, and milk/dairy products a day?

Do you have two to three drinks of beer, liquor or wine per day?

Do you have tooth or mouth problems that make it hard to chew?

Do you ever run out of money for food?

Do you frequently eat alone?

Do you take three or more different prescribed or over the counter drugs?

Have you gained or lost ten pounds in the last six months without wanting to?

Do you require assistance to shop, cook and/or feed yourself?

Do you have difficulty swallowing?

## ASSISTIVE DEVICES

BATHING	
Bathing Bench	
Grab Bar Tub Rail	
Handheld Shower	
Hydraulic Lift	-
COMMUNICATION	
Electronic communication	
Glass Corrective Lenses	
Hearing Aid	
Interpreter	
Interpreter Sign	
PERS	
Magnifying Glass	
Picture Book	
Symbol Book	
Teletypewriter	
DIETARY	
Dentures	
Hand Splint Braces	
Infusion Pump	
Special Utensil Plate	
Glucose Testing Supplies	
CANE	
Cane	
Crutches	
Service Animal	
Hospital Bed	

Hoyer Lift Leg Braces Prosthesis Ramp Access Transfer Board Walker Wheelchair Electric Wheelchair Manual Wheelchair Cushion RESPIRATION Bipap CPAP Nebulizer Oxygen Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet Toilet Safety Frame		
Prosthesis Ramp Access Transfer Board Walker Wheelchair Electric Wheelchair Manual Wheelchair Cushion RESPIRATION Bipap CPAP Nebulizer Oxygen Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Hoyer Lift	
Ramp Access Transfer Board Walker Wheelchair Electric Wheelchair Manual Wheelchair Cushion RESPIRATION Bipap CPAP Nebulizer Oxygen Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet		
Transfer Board Walker Wheelchair Electric Wheelchair Manual Wheelchair Cushion RESPIRATION Bipap CPAP Nebulizer Oxygen Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Prosthesis	
Walker Wheelchair Electric Wheelchair Manual Wheelchair Cushion RESPIRATION Bipap CPAP Nebulizer Oxygen Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Ramp Access	
Wheelchair Electric Wheelchair Manual Wheelchair Cushion RESPIRATION Bipap CPAP Nebulizer Oxygen Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Transfer Board	
Wheelchair Manual Wheelchair Cushion RESPIRATION Bipap CPAP Nebulizer Oxygen Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Walker	
Wheelchair Cushion RESPIRATION Bipap CPAP Nebulizer Oxygen Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Wheelchair Electric	
RESPIRATION Bipap CPAP Nebulizer Oxygen Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Wheelchair Manual	
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CPAP Nebulizer Oxygen Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	RESPIRATION	
Nebulizer Oxygen Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Bipap	
Oxygen Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	CPAP	
Volume Ventilator Oxygen Concentrator SKIN CARE Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Nebulizer	
Oxygen Concentrator  SKIN CARE  Special Mattress  Special Mattress Pad  Whirlpool  TOILETING  Bedpan/Urinal  Pads Incontinence  Commode  Grab Bars  Raised Toilet	Oxygen	
SKIN CARE Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Volume Ventilator	
Special Mattress Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Oxygen Concentrator	
Special Mattress Pad Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	SKIN CARE	
Whirlpool TOILETING Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Special Mattress	
TOILETING  Bedpan/Urinal  Pads Incontinence  Commode  Grab Bars  Raised Toilet	Special Mattress Pad	
Bedpan/Urinal Pads Incontinence Commode Grab Bars Raised Toilet	Whirlpool	
Pads Incontinence Commode Grab Bars Raised Toilet	TOILETING	
Commode Grab Bars Raised Toilet	Bedpan/Urinal	
Grab Bars Raised Toilet	Pads Incontinence	
Raised Toilet	Commode	
	Grab Bars	
Toilet Safety Frame	Raised Toilet	
	Toilet Safety Frame	

Comments:

# PSYCHOLOGICAL/SOCIAL/COGNITIVE

## Alcohol/Drug Abuse

Psychoactive substance use to the extent that it interferes with functioning

☐ No history of alcohol or drug abuse

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□Current or occasional history of alcohol or drug abuse which may cause some interpersonal and/or health problems, but does not significantly impair overall independent functioning. May have behavior management plan in place. □Current or frequent history of alcohol or drug abuse which cause moderate problems with peer, family members, law officials, etc. And may require some professional intervention. May have behavior management plan in place. □Current or history of frequent alcohol or drug abuse which causes significant problems with others and severely impairs ability to function independently. May have behavior management plan in place.
Comments:
Anxiety Indicated by excessive worry, apprehension, fear, nervousness or agitation
□ No history of anxiety □ Current or history of occasional anxiety which interferes with functioning, but currently well controlled, may be taking medication. May have behavior management plan in place. □ Current or history of frequent anxiety which interferes with functioning and may require medication and routine monitoring by behavioral health professional. May have behavior management plan in place. □ Presently displays anxiety which significantly impairs the ability for self-care, may require mediation or may need routine monitoring by behavioral health professional. May have behavior management plan in place.
Comments:
Assaultive/Destructive Behavior Assaultive or combative toward others (throws objects, strikes or punches, bites, scratches, kicks, makes dangerous maneuvers with wheelchair, destroys property, sets fires, etc.
<ul> <li>□ No history of combative or destructive behaviors</li> <li>□ Current or history of occasional combative or destructive behaviors. Requires special tolerance or staff training, but does not require professional consultation and/or intervention. May have behavior management plan in place.</li> <li>□ Current or history of frequent combative or destructive behaviors, and may require professional consultation or staff training. May have behavior management plan in place.</li> <li>□ Is assaultive, and requires constant supervision, a professionally authorized behavioral management program, and/o professional consultation and intervention. May have behavior management plan in place.</li> </ul>
Comments:
Danger to Self Indicated by self-neglect, head banging, suicidal thoughts, self-mutilation, suicide attempts, etc.
□ No history of self-injurious behavior. □ Current or occasional history of self-injurious behavior (i.e., self-mutilation, suicidal ideation/plans, and suicide

□ Current or frequent history of self-injurious behavior, self-neglect, head banging, suicidal thoughts, self-mutilation, and behavioral control. Intervention and/or medication may be required to manage behavior. May have behavior management plan in place.  □ Displays self-injurious behavior and requires constant supervision, with behavioral control intervention and/or medication. Requires an assessment and/or referral for help. May have behavior management plan in place.
Comments:
Delusions  Beliefs not based on fact, such as having special powers, being persecuted, being spied upon.
□No history of delusions. □Current or occasional history of delusions which interfere with functioning, but currently well controlled, maybe taking medication. May have behavior management plan in place. □Current or history of frequent delusions which interfere with functioning and may require medication and routine monitoring by a behavioral health professional. May have behavior management plan in place. □Presently has delusion(s) which significantly impair the ability for self-care, may or may not be taking medication. May have behavior management plan in place.
Comments:
<b>Depression</b> Indicated by feelings of hopelessness, despair, sleep disturbance, appetite impairment, change in energy level, lack of motivation, thoughts of death.
□ No history of depression □ Current or history of occasional depression which interferes with functioning but currently well controlled, may be taking mediation. May have behavior management plan in place. □ Current or history of frequent depression which interferes with functioning and may require medication and routine monitoring by behavioral health professional. May have behavior management plan in place. □ Presently displays depression which significantly impairs the ability for self-care, may or may not be taking medication. My have behavior management plan in place.
Comments:
Disruptive /Socially Inappropriate Behavior Inappropriate behavior such as making excessive demands for attention, taking another's possessions, being verbally abusive, disrobing in front of others and displaying inappropriate sexual behavior.
□ No history of disruptive, aggressive or socially inappropriate behavior.  □ Current or history of occasional disruptive, aggressive or socially inappropriate behavior, either verbally or physically threatening. May require special tolerance or staff training. May have behavior management plan in place.

☐ Current or history of frequent disruptive, aggressive or socially inappropriate behavior. May require professional consultation or staff training. May have behavior management plan in place.
☐ Is dangerous or physically threatening and requires constant supervision, a professionally authorized behavioral
management program, and/or professional consultation and intervention. May have behavior management plan in
place.
Comments:
Hallucinations
Visual, auditory, tactile, olfactory or gustatory perceptions that have no basis in reality.
□ No history of hallucinations.
☐ Current or history of occasional hallucinations which interfere with functioning, but currently well controlled, may be taking medication. May have behavior management plan in place.
☐Current or history of frequent hallucinations which interfere with functioning and may require medication and routine monitoring by behavioral health professional. May have behavior management plan in place.
☐Presently has hallucination(s) which significantly impair ability for self-care, may or may not be taking medication.
May have behavior management plan in place.
Comments:
Judgment
Ability to make appropriate decisions, solve problems or respond to major life changes.
☐Judgment is good. Makes appropriate decisions.
☐Current or history of occasional poor judgment. May make inappropriate decisions in complex or unfamiliar
situations. Needs monitoring and guidance in decision making. May have behavior management plan in place.
☐ Current or history of frequent poor judgment. Needs protection and supervisions because participant makes unsafe
or inappropriate decisions. May have behavior management plan in place.
☐ Judgment is always poor. Cannot make appropriate decisions for self or makes unsafe decisions and needs intense
supervision (Intense supervisions is needed to prevent danger to self or others.) May have behavior management plan
in place.
Comments:
Memory
Ability to recall and use information.
☐ Does not have difficulty remembering and using information. Does not require directions or reminding from others.
☐ Current or history of occasional difficulty remembering and using information. Requires some direction and
reminding from others. May be able to follow written instructions: May have behavior management plan in place.
☐ Current or history of frequent difficulty remembering and using information, and requires direction and reminding
from others. Cannot follow written instructions. May have behavior management plan in place.

☐ Cannot remember or use information. Requires continual verbal prompts. May have behavior management plan in place.
Comments:
Orientation  Ability to relate to person, place, time and/or situation.
☐ Oriented to person, place, time and/or situation. ☐ Current or history of occasional disorientation to person, place, time or situation that does not interfere with functioning in familiar surroundings. Requires some direction and reminding from others. May have behavior management plan in place. ☐ Current or history of frequent disorientation to person, place, time or situation even if in familiar surroundings and
requires supervision and oversight for safety. May have behavior management plan in place.  Always disoriented and requires constant supervision and oversight for safety. Extensive intervention needed to manage behavior.
Comments:
Self-Preservation / Victimization  Ability to avoid situations in which person may be easily taken advantage of and to protect him/herself and his/her property from others.
□ No history of self-preservation, victimization or exploitation. Participant is clearly aware of surroundings and is able to discern and avoid situations in which he/she may be abused neglected or exploited.  □ Current or history of occasional inability to discern and avoid situations that he/she may be abused, neglected or exploited. May have behavior management plan in place.  □ Current or history of frequent inability to discern and avoid situations that he/she may be abused, neglected or exploited. May have behavior management plan in place.  □ Requires constant supervision due to inability to discern and avoid situations in which he/she may be abused, neglected or exploited. May have behavior management plan in place.  Comments:
Wandering Moving abut aimlessly; wandering without purpose or regard to safety.
□ No history of wandering. □ Current or occasional history of wandering within the residence or facility and may wander outside, but does not jeopardize health or safety (of self or others.) May have behavior management plan in place. □ Current or frequent history of wandering within the residence or facility. May wander outside; health or safety may be jeopardized but participant is not combative about returning and does not require professional consultation or intervention. May have behavior management plan in place.

Wanders outside and leaves immediate area. Has consistent history of leaving immediate are, getting lost or being combative about returning. Requires constant supervision, a professionally authorized behavioral management program and/or professional consultation and intervention. May have behavior management plan in place.

Comments:

# FUNCTIONAL ABILITIES

#### Attendant Gare

#### **Bathing**

Identify the participant's ability to bathe and wash hair

Assistance Required:	Available Support:
Comments:	

#### Dressing

Identify the participant's ability to dress and undress, including selection of clean clothing or appropriate seasonal clothing

Assistance Required:	Available Support:
Comments:	

### **Eating Meals**

Identify the level of assistance needed to perform the activity of feeding and eating with special equipment if regularly used or special tray setup

Assistance Required:	Available Support:
Comments:	

#### **Emergency Response**

Identify the participant's ability to recognize the need for and to seek emergency help

Assistance Required:	Available Support:
Comments:	

#### Medication

Identify the participant's ability/willingness to administer his/her own medication

Assistance Required:	Available Support:
Comments:	

#### Mobility

Identify the participant's physical ability to get around, both inside and outside, using mechanical aids if needed

Assistance Required:	Available Support:
Comments:	

#### **Night Needs**

Identify the participant's need for assistance during the night

Assistance Required:	Available Support:
Comments:	

## Personal Hygiene

Identify the participant's ability to shave, care for mouth and comb hair

Assistance Required:	Available Support:
Comments:	

#### Supervision

Identify the participant's ability to manage his/her life, including needs and activities

Assistance Required:	Available Support:
Comments:	

#### Toileting

Identify the participant's ability to get to and from the toilet (including commode, bedpan and urinal), manage colostomy or other devices to cleanse after eliminating and to adjust clothing

Assistance Required:	'Available Support:
Comments:	

#### Transferring

Identify the participant's ability to transfer when in bed or wheelchair

Assistance Required:	Available Support:
Comments:	

## Homemaker

#### **Access to Transportation**

Identify the participant's ability to get to and from stores, medical facilities and other community activities, considering the ability both to access and use transportation

Assistance Required:	Available Support:
Comments:	

#### Housework

Identify the participant's ability to clean surfaces and furnishings in his/her living quarters, including dishes, floors and bathroom fixtures and disposing of household garbage

Assistance Required:	Available Support:
Comments:	

### Laundry

Identify the participant's ability to do own laundry either at home or at the Laundromat

Assistance Required:	Available Support:
Comments:	

## **Preparing Meals**

Identify the participant's ability to prepare own food. Consider safety issues such as whether burners are left on

Assistance Required:	Available Support:
Comments:	

## Shopping

Identify the participant's ability to shop for food and personal items

Assistance Required:	Available Support:
Comments:	

## **SURVEY**

# Participant Experience

- 1. Do your service providers treat you with respect and dignity?
- 2. Does your service provider understand and respect your choices and preferences on how services are delivered to you?
- 3. Have you ever gone without services because the service provider did not show up?
- 4. Are you happy with the care your service provider gives you?
- 5. Are you satisfied with your current level of community engagement?

# Participant Record

- 1. Is there a copy of Progress Notes in the participant's residence?
- 2. Do the Progress Notes document that services are delivered as authorized by IDHW?

# Service Plan

- 1. Is there a current Service Plan in the participant's residence?
- 2. Does the Service Plan include and address all needs outlined on the UAI?
- 3. Are Risk Factors properly addressed in the Service Plan?
- 4. Are Personal Goals & Outcomes identified on the Service Plan?
- 5. Is the Service Plan signed by the Participant/Legal Guardian and Provider?